TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(d)) **SEC.1087** In Re Application Of: Yo-Han Ahn SEP 1 3 2005 Application No. Customer No. Filing Date Group Art Unit Confirmation No. 10/684,436 10/15/03 20987 3937 Tran, Khoi H 3651 Title: SUBSTRATE PROCESSING APPARATUS AND METHOD OF PROCESSING SUBSTRATE WHILE CONTROLLING FOR CONTAMINATION IN SUBSTRATE TRANSFER MODULE Address to: **Commissioner for Patents** The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p). A check in the amount of \$180.00 is attached. Charge Deposit Account No. in the amount of Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States Patent and Trademark Office as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA (Fax no.) on 22313-1450" [37 CFR 1.8(a)] on (Date) (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be-used if paying by deposit account. Signature Dated: SEPT. 13, 2005 ADAM C. VOLENTINE **REG. NO. 33289 VOLENTINE FRANCOS & WHITT, PLLC** 11951 FREEDOM DRIVE, SUITE 1260 **RESTON VA 20190** TEL. 571.283.0720 CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSERE STATEMENT **SEC.1087** In Re Application Of: Yo-Han Ahr SEP 1 3 2005 Customer No. | Group Art Unit | Confirmation No. Application No. Filing Date aminer 10/684,436 10/15/03 Tran, Khoi H 20987 3651 3937 Invention: SUBSTRATE PROCESSING APPARATUS AND METHOD OF PROCESSING SUBSTRATE WHILE CONTROLLING FOR CONTAMINATION IN SUBSTRATE TRANSFER MODULE COMMISSIONER FOR PATENTS: This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Statement Under 37 CFR 1.97(e)(2) No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. Dated: SEPT. 13, 2005 Signature ADAM C. VOLENTINE **REG. NO. 33289** Certificate of Mailing by First Class Mail certify that this correspondence is being deposited with **VOLENTINE FRANCOS & WHITT, PLLC** the United States Postal Service with sufficient postage as 11951 FREEDOM DRIVE, SUITE 1260 first class mail in an envelope addressed to "Commissioner **RESTON VA 20190** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450' [37 CFR 1.8(a)] on TEL. 571.283.0720 (Date) 0B/14/2005 SZEWDIE1 00000116 10684436 Signature of Person Mailing Correspondence 180.00 OP FC:1806 CC: Typed or Printed Name of Person Mailing Correspondence

PTO/SB/08A (07-05)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/684,436	•	
Filing Date	10/15/03	· <u>-</u>	
First Named Inventor	Yo-Han Ahn		
Art Unit	3651		
Examiner Name	Tran, Khoi H.		
Attorney Docket Number	SEC.1087		

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•	Α	^{US-} 6,224,679 B1	05/01/01	Sasaki et al.	
	В	^{US-} 2002/0142496 A1	10/03/02	Nakasuji et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	,,	Or Relevant Figures Appear	1
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Signature	Considered	1

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.